# DeepTrust<sup>RT</sup>: Confidential Deep Neural Inference **Meets Real-Time!**

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#### – Abstract

Deep Neural Networks (DNNs) are becoming common in "learning-enabled" time-critical 8 applications such as autonomous driving and robotics. One approach to protect DNN inference from 9 adversarial actions and preserve model privacy/confidentiality is to execute them within trusted 10 enclaves available in modern processors. However, running DNN inference inside limited-capacity 11 enclaves while ensuring timing guarantees is challenging due to (a) large size of DNN workloads 12 and (b) extra switching between "normal" and "trusted" execution modes. This paper introduces 13 new time-aware scheduling schemes—DeepTrust<sup>RT</sup>—to securely execute deep neural inferences for 14 learning-enabled real-time systems. We first propose a variant of EDF (called DeepTrust<sup>RT</sup>-LW) 15 that slices each DNN layer and runs them sequentially in the enclave. However, due to extra context 16 switch overheads of individual layer slices, we further introduce a novel layer fusion technique (named 17 DeepTrust<sup>RT</sup>-FUSION). Our proposed scheme provides hard real-time guarantees by *fusing* multiple 18 layers of DNN workload from multiple tasks; thus allowing them to fit and run concurrently within 19 the enclaves while maintaining real-time guarantees. We implemented and tested DeepTrust<sup>RT</sup> ideas 20 on the Raspberry Pi platform running OP-TEE+DarkNet-TZ DNN APIs and three DNN workloads 21 (AlexNet-squeezed, Tiny Darknet, YOLOv3-tiny). Compared to the layer-wise partitioning approach 22 (DeepTrust<sup>RT</sup>-LW), DeepTrust<sup>RT</sup>-FUSION can schedule up to 3x more tasksets and reduce context 23 switches by up to 11.12x. We further demonstrate the efficacy of DeepTrust<sup>RT</sup> using a flight controller 24 (ArduPilot) case study and find that DeepTrust<sup>RT</sup>-FUSION retains real-time guarantees where 25 DeepTrust<sup>RT</sup>-LW becomes unschedulable. 26

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36

#### 1 Introduction

The emergence of modern IoT-specific applications (such as autonomous vehicles, drones, and 37 cognitive robots, among others) coupled with advances in computing power and hardware 38 efficiency pushed artificial intelligence toward embedded devices. Engineers in modern 39 safety-critical applications are progressively deploying more complex deep learning models to 40 meet the need for on-device intelligence [1]. Many safety-critical learning-enabled systems also 41 have stringent timing (viz., "real-time") requirements. For example, an autonomous vehicle 42 must periodically scan and recognize objects in its surroundings. This is often performed by 43 executing a deep neural network (DNN) inference chain. Any delay in the object recognition 44



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<sup>45</sup> process may jeopardize decision-making, thus threatening the safety of the vehicle, passengers,
<sup>46</sup> and others around it.

Executing DNN models on end-user devices introduces new security and confidentiality 47 challenges. Since most autonomous systems collect sensitive information (e.g., location 48 and driving dynamics, reconnaissance images, medical records), data leakage from the 49 learning task results in privacy concerns. Further, a compromised system could redistribute 50 proprietary models (e.g., parameters, intermediate results, final outputs), leaking the 51 intellectual property of the model provider. For instance, researchers have demonstrated 52 attacks such as membership inference [2,3], fault injection [4,5], and input reconstruction [6], 53 which can leak private model information and cause misclassification. 54

One way to tackle the DNN confidentiality problem is to execute the inference tasks 55 inside trusted enclaves such as Intel SGX [7] or ARM TrustZone [8] available in modern 56 processors. Enabling trusted execution for DNN workloads is challenging as most DNN 57 tasks are compute/memory-heavy and do not fit within the enclave memory. To put 58 this in context, VGG-16 [9], an image classification task, requires 528 MB of memory for 59 runtime computations. In contrast, OP-TEE [10], an open-source TrustZone stack, only 60 supports 16 MB of enclave memory. To address this issue, researchers proposed various 61 techniques to "slice" and execute DNN workload inside trusted enclaves [11]. However, they 62 are designed for general-purpose mobile/edge computing platforms (i.e., do not provide 63 real-time guarantees). Simply retrofitting existing frameworks without considering periodic, 64 deadline-based real-time tasks will not effectively ensure the dependability requirements of 65 learning-enabled hard real-time systems. For instance, although we can split the DNN model 66 into multiple partitions [12-15], as we shall see in this paper (Section 4.2), slicing and moving 67 inference tasks back and forth between the trusted and normal execution mode results in 68 extra delays due to high context switch overheads. This may cause some (or all!) critical 69 tasks to miss their deadlines [16]. 70

<sup>71</sup> Hence, our research aims to address the following problem.

72

The confidentiality of DNN models running on an untrusted device can be maintained by executing them within trusted enclaves.

**Challenge:** How do we ensure compute-heavy real-time DNN tasks fit in limited capacity enclaves while retaining their timing guarantees (deadlines)?

In response to the above problem, we develop scheduling models (called DeepTrust<sup>RT</sup>) 73 that ensure a set of learning-enabled real-time tasks can retain model confidentiality. Our 74 first attempt to make the DNN inference tasks *trusted* and *time-aware* relies on a slicing 75 mechanism that partitions DNN models *layer-by-layer* [13]. The idea is to sequentially send 76 one DNN layer at a time to the enclave, perform its computation, and get the results back. 77 However, a single layer may often not fit in the enclave due to its large size. Hence, we 78 use the Deep Compression [17] to reduce DNN model size considering the enclave capacity 79 (Section 4.1). We then use the compressed model and enable real-time scheduling capabilities 80 for the existing (non-real-time) layer-wise partitioning idea. We call our first approach 81 DeepTrust<sup>RT</sup>-LW. We also derive related schedulability conditions (Section 4.2). 82

<sup>83</sup> We find that despite real-time guarantees, DeepTrust<sup>RT</sup>-LW results in poorer throughput <sup>84</sup> (i.e., fewer tasks are schedulable) due to high context switch overheads introduced by <sup>85</sup> the layer-by-layer partitioning technique. Hence, we further optimize DeepTrust<sup>RT</sup>-LW <sup>86</sup> with a novel "fusion" approach that selectively groups multiple layers from multiple tasks, <sup>87</sup> considering enclave capacity and deadline constraints (Section 5). We name this technique <sup>88</sup> DeepTrust<sup>RT</sup>-FUSION. Figure 1 illustrates the key intuition of DeepTrust<sup>RT</sup>-FUSION for a



**Figure 1** High-level schematic of the scheduling techniques used in the work. Due to the large size of a DNN model, often it is not feasible to fit within the enclave. If we slice the model *layer-by-layer* to fit in the enclave and send them sequentially (left rectangle, named DeepTrust<sup>RT</sup>-LW), extra context switch overheads may violate real-time constraints. Hence, we also introduce a novel "layer fusion" technique (named DeepTrust<sup>RT</sup>-FUSION, right rectangle) that *groups multiple layers from multiple tasks* together to reduce context switch costs and results in better schedulability.

three-task system. When DNN layers are sent sequentially to the enclave, extra context switch 89 overheads cause longer response time, and one of the tasks misses the deadline. In contrast, 90 fusing multiple layers saves context switch delays, thus resulting in faster response times. 91 As a result, all tasks meet deadlines. Our proposed layer fusion approach (a) better utilizes 92 enclave capacity, and (b) reduces context switches (normal-to-secure and secure-to-normal) 93 incurred by the layer-by-layer partitioning technique (up to 11.12x for a set of 25 tasks at 50%94 utilization, see Section 6). As a result, DeepTrust<sup>RT</sup>-FUSION achieves better schedulability 95 compared to the DeepTrust<sup>RT</sup>-LW approach. 96 In this work, we focus on ARM-based enclaves (viz., TrustZone) as ARM is the dominant 97 architecture for embedded applications. However, our ideas are general and can be adapted 98 to other enclaves (such as SGX) without loss of generality. We tested both DeepTrust<sup>RT</sup>-LW 99 and DeepTrust<sup>RT</sup>-FUSION on three realistic workloads (e.g., AlexNet-squeezed [18], Tiny 100 Darknet [18], YOLOv3-tiny [19]) running on Raspberry Pi and performed design-space 101

exploration (Section 6). We also conducted a case study using the ArduPlilot UAV autopilot system [20] and DNN-enabled workload (YOLOv3-tiny, Tiny Darknet) and found that DeepTrust<sup>RT</sup>-FUSION can meet all deadlines for critical tasks while DeepTrust<sup>RT</sup>-LW misses some (Section 6.2).

105 some (Section 6.2).

Our Contributions. This research is one of the first efforts to enable time-aware trusted
 DNN execution for learning-enabled real-time systems. Our contributions are as follows:

Enabling timing guarantees for performing confidential deep inference in latency-critical
 learning-enabled systems.

A new scheduling framework and analytical model (DeepTrust<sup>RT</sup>-LW) to determine the feasibility of deploying a given real-time DNN workload on TrustZone enclaves (Section 4).

A novel task fusion approach (DeepTrust<sup>RT</sup>-FUSION) to further reduce TEE context switch overheads while retaining real-time guarantees (Section 5).

We performed thorough design-space exploration using three DNN architectures (AlexNetsqueezed, Tiny Darknet, YOLOv3-tiny). Our case study on a UAV system (ArduPliot) running on Raspberry Pi further demonstrates the efficacy and feasibility of the proposed techniques.

<sup>118</sup> We now start with a background on trusted enclaves (TrustZone) and DNN architecture <sup>119</sup> (Section 2) before introducing our model and related assumptions (Section 3).

### 120 2 Background

### 121 2.1 Trusted Execution and ARM TrustZone

Trusted execution environments (TEEs) offer a secure and isolated runtime environment. TEEs ensure confidentiality and integrity for the code and data that can not be exploited even if the host (i.e., main) OS is compromised. Among various off-the-shelf TEE implementations, Intel SGX [7] and ARM TrustZone [8] are two widely used technologies in many IoT/mobile applications. SGX is usually used for general-purpose computers and servers. In contrast, TrustZone architecture is more suitable for embedded applications and hence is the focus of our work.

The runtime operations in TrustZone 129 are divided into "normal" and "secure" 130 worlds, each having its own kernel, user, 131 and memory space (see Fig. 2). In the 132 normal world, a conventional operating 133 system (e.g., Linux/RTOS) provides the 134 execution environment, whereas the secure 135 world uses a minimal trusted kernel (e.g., 136 OP-TEE [10]). The state of the current 137 processor is determined by a specialized 138 bit called the non-secure (NS) bit. The NS 139 bit has two states: NS = 1 for non-secure 140



**Figure 2** TrustZone architecture.

execution and NS = 0 for secure execution. TrustZone employs a customized mechanism known as secure monitor call (SMC) to switch between these two states. When an SMC instruction is invoked in the normal world, the processor cores perform a context switch from the normal world to the secure world and pause normal world operations. As a security precaution, the normal world cannot access secure memory, while the secure world can access normal world memory. TrustZone also ensures the isolation of external peripherals.

### 147 2.2 Deep Neural Inference

DNNs comprise an input layer, one or more 148 hidden layers, and an output layer. Each 149 layer consists of interconnected nodes, 150 where the connections between nodes are 151 represented as edges, each associated with 152 a weight, and each node has an associated 153 threshold value. When the output of a 154 node exceeds its threshold, the node is 155 activated, and the data is propagated to 156 the next layer. Figure 3 illustrates a 157 simplified DNN architecture. 158



**Figure 3** A simplified neural network structure. The input and output layers are connected through multiple hidden layers.

159 DNN algorithms establish models

based on training data, enabling them to generate predictions without explicit programming.
During the inference process, input data is passed through the layers, and each layer performs
matrix multiplications on the data. The final layer's outputs can be numerical or classified
outputs, depending on the specific application.

### 164 2.3 Confidential DNN

Many DNN-based applications (such as image processing, object detection, medical records, 165 and financial transactions) deal with sensitive data and require protection against tampering 166 or theft of intellectual property. When protecting model parameters is needed, an emerging 167 approach is to execute critical DNN layers inside trusted enclaves. As enclaves have limited 168 memory and DNN models are generally large, one common approach (used for general-purpose 169 systems) is to execute the DNN workload layer-by-layer. This is known as "layer-based 170 partitioning," where each layer forms an independent partition. Each partition includes 171 weights and biases and is stored in a separate encrypted file. The enclave stores the decryption 172 key. The (encrypted) partition file is loaded into shared memory and decrypted by a trusted 173 application on the secure side. 174

Note: We do not intend to modify or improve the confidential DNN techniques—a large number of literature exists to show how DNN models can be protected using TrustZone or other
 TEEs [13–15, 21]. Our focus in this paper is to make existing (non-time-aware) confidential DNN ideas used for general-purpose systems adaptable for time-critical applications (viz., a set of periodic tasks with deadline constraints controlled by a real-time scheduling policy).

### **3** Model and Assumptions

### **3.1** System Model

We consider a uniprocessor real-time system running on a TEE-enabled platform. The 182 system consists of n real-time tasks  $\Gamma = \{\tau_1, ..., \tau_n\}$  performing DNN inference. Each task 183  $\tau_i$  is characterized by  $\tau_i = \{C_i^a, T_i, D_i, L_i, \mathcal{W}_i\}$ , where  $C_i^a$  is the worst-case execution time 184 (WCET) of the task inside the enclave,  $T_i$  is the period of task  $\tau_i$ ,  $D_i$  is the deadline,  $L_i$  is 185 the number of layers of the DNN task, and  $\mathcal{W}_i$  is the set of sizes of each layer of the DNN 186 task  $\tau_i$  where  $\mathcal{W}_i = \{w_{i1}, w_{i2}, \cdots, w_{iL}\}$ . Here,  $w_{ik}$  is the size of the weights associated with 187 the edges between nodes (neurons), activation, and bias of nodes. In addition,  $W_i$  is the size 188 of the DNN task  $\tau_i$  where  $W_i = \sum_{k=1}^{L_i} w_{ik}$ . As mentioned earlier (Section 2.3), each layer 189 partition, which includes weights and biases, is stored in an encrypted file. This encrypted 190 file is loaded into shared memory and decrypted by a trusted application on the secure side. 191 Let us denote  $C_i^a = C_i^{dec} + C_i^{com}$  is the computation inside the enclave, where  $C_i^{dec}$  is the 192 time required for decryption of the layers information and  $C_i^{com}$  is the computation time of 193 task  $\tau_i$ . 194

We assume the tasks follow the earliest deadline first (EDF) scheduling policy [22]. We 195 consider an implicit deadline system (i.e., each task's period is equal to its deadline,  $D_i = T_i$ ). 196 The taskset  $\Gamma$  is "schedulable" if the response time of each task (the time between arrival 197 to completion) is less than its deadline. The trusted enclave has a finite capacity  $\delta$ , i.e., it 198 can execute  $L_i \geq 1$  layers together as long as the total resource requirements of those layers 199 are less than  $\delta$ . We consider the size of each layer of a task less than  $\delta$ . Invoking a TEE 200 session involves a series of API calls. For instance, OP-TEE OS [10] requires 5 API calls for 201 instantiating and terminating a TEE session (see Table 1). Each time the DNN layers enter 202 the enclave, the data needs to be transferred into the enclave. Let  $C_{s_i}^{st}$  be the SMC setup 203 time and  $C_{s_i}^d$  be the SMC cleanup time. Hence,  $C_i^{cs} = C_{s_i}^{st} + C_{s_i}^d$  captures this data copy 204 overhead. Note that the parameter  $C_i^{cs}$  is not part of the worst-case execution time  $(C_i^a)$ . If 205 a task requires  $n_i^{cs}$  many context switches (to-and-from normal to secure world), the total 206 data copy overhead will be  $n_i^{cs} \times C_i^{cs}$ . In Section 5.2, we derive bounds on the number of 207 context switches. 208

```
    Prepare session with the TA
    Begin darknet
    ...
    ...
    # darknetp:TEEC Invoke_Command(CONV) failed
```

**Listing 1** OP-TEE Log: Failed Invocation of a Large Model on Raspberry Pi.

Existing TEE implementations (for instance, OP-TEE) use non-preemptive enclave execution. We incorporate this behavior, i.e., when a task performs DNN inference inside the enclave, other higher-priority tasks will be "blocked" until the currently running task releases the enclave.

### **3.2** Assumptions on Adversarial Capabilities

The pre-trained model (e.g., parameter and hyperparameter values such as structure or 214 properties of the particular DNN) is deployed to the real-time platform before the system is 215 operational. We consider an adversary seeks to gain access to sensitive information of the 216 model. Our focus is on protecting the inference operations of the DNN model. Attackers 217 may have access to the input data, but they will not obtain any information about the model 218 architecture or the final inference as long as they execute within the enclave. The attackers 219 may know all the task periods and their execution times. We further assume that the 220 adversaries cannot bypass the TEE protection mechanisms. Note that similar assumptions 221 are used by other researchers [21, 23]. 222

Following the convention, we assume that the parameters of the pre-trained model are kept encrypted in the local storage. The hyperparameters of the model are kept unencrypted in the normal world as they are generally well-known to the public and do not disclose any sensitive information about the input or training data [24]. During inference (i.e., invocation of a job), the input data and model parameters are loaded into the enclave memory, and the required inference operation is carried out after the decryption of the model parameters.

### <sup>229</sup> **4** Time-Aware Confidential Deep Learning

In the vanilla case (i.e., when model confidentiality is not a concern), the weights and biases of 230 each neuron in a DNN architecture can be loaded into memory to calculate neuron activation. 231 However, a system with confidentiality requirements (execute models within an enclave) 232 presents challenges when it comes to preloading all the necessary values (e.g., weights, biases) 233 due to limited enclave size, which could be as low as 8 MB for some systems [25]. In contrast, 234 most DNN models need 100+ MB [9]. If a DNN model is too large, then the model may fail 235 to execute inside the enclave. To illustrate this, we conducted experiments on Raspberry Pi 236 running OP-TEE and Darknet [12]. For large models, Darknet was unable to load to model 237 (Listing 1). Hence, we used a model compression technique using *Deep Compression* [17] 238 to reduce the model size as we present below. Listing 2 shows the case after trimming that 239 allowed us to load and run the model successfully. 240

### <sup>241</sup> 4.1 Resizing (Trimming) the Model

Deep Compression is a three-stage pipeline that reduces the storage requirement of neural networks by 35x to 49x without compromising their accuracy. The pipeline consists of

```
Prepare session with the TA
Begin darknet
...
user CPU start: 0.029851; end: 0.029851
kernel CPU start:2.916167; end: 2.917143
Max: 2756 kilobytes
vmsize:545460850536; vmrss:365072222916;
vmdata:545460847464; vmstk:132;
vmexe:412; vmlib:545460848828
// Successful inference and job completion
```

**Listing 2** OP-TEE Log: Successful Inference.

Algorithm 1 Model Compression

1: Input:  $w_{ij}$ ,  $\lambda$ 2: Output: Compressed Size  $(w'_{ij})$ 

3: Prune the network below a certain threshold  $\lambda$  following state-of-the-art techniques [26].

4: Retrain the network.
5: Quantize the weights of model: r = mb/(m \log\_2 k+kb) percentage (i.e., (1 − θ<sub>ij</sub>%)) to get the value of k
6: Huffman coding to the quantized weights
▷ Plugging the value of r from approximation ▷ final compressed weight

7: return  $w'_{ij}$ 

pruning, trained quantization, and Huffman coding [25]. The first stage prunes the network by learning only the essential connections, and the second stage quantizes the weights to enforce weight sharing. Finally, the pipeline applies Huffman coding. The method reduces the storage required by AlexNet-squeezed by 35x (from 240 MB to 6.9 MB), and VGG-16 by 49x (from 552 MB to 11.3 MB), without any significant loss of accuracy. This enables the large model to fit inside TEE, tackling the memory constraints.

Recall that, to fit the model in the TEE, the size of each layer must be less than the 250 enclave capacity  $\delta$ . For a given DNN task  $\tau_i$ ,  $W_i$  is the size of the task,  $L_i$  is the total number 251 of layers, and then the set of size of the layers is  $\mathcal{W}_i = \{w_{i1}, \cdots, w_{iL_i}\}$ , where  $W_i = \sum_{j=1}^{L_i} w_{ij}$ . 252 We check  $\forall w_{ij}, w_{ij} < \delta$ . If  $w_{ij} > \delta$ , we calculate the approximation  $\theta_{ij} = w_{ij} - \delta$  required 253 for this layer. The approximate percentage is defined by  $\theta_{ij} \approx \theta_{ij} / w_{ij}$ . The first stage 254 of Deep Compression (see Algorithm 1) prunes the network by learning only the required 255 connections, and the second stage quantizes the weights to enforce weight sharing. In general, 256 for a network with m connections, each connection is represented by b bits, constraining the 257 connections to have only k shared weights will result in a compression rate of 258

$$r = \frac{mb}{m\log_2 k + kb}.$$
(1)

Let us assume  $(1 - \theta_{ij}\%)$  is the desired value for the compression rate r. Plugging the desired compression rate  $r = (1 - \theta_{ij}\%)$ , we can find the cluster size k based on Eq. (1). After checking and resizing all the layers, we will get the desired task ready that can fit within the enclave (see Algorithm 2).

### **4.1.1** Formal Description of Model Trimming

Algorithm 1 and Algorithm 2 formally present our ideas for trimming a given DNN model. The model compression process (Algorithm 1) initially prunes the network below a threshold  $\lambda$  to remove less critical connections (Line 3). For this, we use the techniques Han et al. [26]

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```
Algorithm 2 Resize all Layers
```

1: Input: Model size set  $(W_i)$ , TEE Capacity  $\delta$ **Output:** Resized model size set  $(\mathcal{W}'_i)$ 2: 3:  $\mathcal{W}'_i = []$ 4: for j = 1 to  $L_i$  in  $\mathcal{W}_i$  do if  $w_{ij} > \delta$  then 5: 6: Optimized the layer using Algorithm 1  $\hat{\mathcal{W}_i}' \leftarrow w_{ij}$ 7: 8: else  $\mathcal{W}_i' \leftarrow w_{ij}$ 9: 10: end if 11:  $j \leftarrow j + 1$ 12: end for 13: return Resized model  $(\mathcal{W}'_i)$ 

 $\triangleright$  Initialize to an *n* empty array

described. We rerun the network to learn the final weights with pruned networks (Line 4). Then, the algorithm quantizes weights, determining the value of shared weights k plugging desired compression rate  $r = (1 - \theta_{ij}\%)$  in Eq. (1) (Line 5). Finally, we apply Huffman coding [25] to the quantized weights (Line 6).

Following the steps in Algorithm 1 allows us to resize a single layer. We then use Algorithm 2 to resize all the layers of a task  $\tau_i$  so that we can fit at least a single layer at a given time inside TEE. Algorithm 2 examines each layer of  $\tau_i$  to determine if it exceeds the TEE capacity  $\delta$  (Lines 4-12). For instance, if  $w_{ij} > \delta$ , the layer is optimized using Algorithm 1 (Line 6) and stores the resized layers information in  $\mathcal{W}'_i$  (Line 7). If  $w_{ij} < \delta$ , unchanged value of  $w_{ij}$  is stored in  $\mathcal{W}'_i$  (Line 9). This process is repeated for each layer of  $\tau_i$ , and resized layer information is stored in  $\mathcal{W}'_i$ .

We note that a compressed model may not fit into TEE due to limited enclave size (i.e., 279  $W_i = \sum w_{ij} > \delta$ ). In such cases, a known technique (used in general-purpose systems) is to 280 split the DNN model into smaller parts [13, 27]. This partitioning method is beneficial as 281 the only values needed at a given time are the activation of the previous layer, the weights, 282 and biases for the current layer. To illustrate, for two fully connected layers, each with z283 neurons, it would require z activations,  $z \times z$  weights, and z biases. This effectively reduces 284 the instantaneous memory requirement to that of a single layer. The largest layer in the 285 model determines the minimum amount of secure world memory needed for confidential 286 DNN execution. However, this approach partitions each layer and transfers results back 287 and forth from secure to the normal world. This extra context switch overhead could be 288 a bottleneck for real-time applications. Thus, we need timing analysis and schedulability 289 conditions to ensure all tasks retain real-time constraints. The following shows how we can 290 adapt the EDF scheduler for a conventional layer-wise partitioning technique. We refer to 291 this EDF variant as DeepTrust<sup>RT</sup>-LW. 292

# <sup>293</sup> 4.2 DeepTrust<sup>RT</sup>-LW: Real-Time Layer-wise DNN Execution

Our first approach—DeepTrust<sup>RT</sup>-LW—sequentially partitioned the layers. They are then 294 transferred to the enclave and scheduled using EDF policy. This is feasible since there is 295 no cross-dependency between any two layers, and each layer can be computed sequentially 296 independently [27]. Traditional EDF schedulability conditions often involve checking many 297 relative deadline points to assess the schedulability of a taskset up to the hyperperiod [28,29]. 298 To speed up this process, Zhang et al. propose an improved algorithm (called QPA) that 290 significantly reduces the computation required to check each relative deadline [28]. To 300 determine the schedulability conditions for DeepTrust<sup>RT</sup>-LW, we use the existing QPA-301

Algorithm 3 DeepTrust<sup>RT</sup>-LW Schedulability Checking

1: Input: Real-time taskset  $(\Gamma)$ 2: Output: Taskset schedulability 3:  $t \leftarrow \max\{T_1, T_2, \cdots, T_n\}$ 4:  $T_{min} \leftarrow \min\{T_1, T_2, \cdots, T_n\}$ 5: while  $t > T_{\min}$  do  $h(t) \leftarrow \sum_{i=1}^{n} \lfloor \frac{t}{T_i} \rfloor (C_i^a + L_i \times C^{cs})$ 6: 7:if  $h(t) + b(t) > T_{\min} \wedge h(t) + b(t) < t$  then  $t \leftarrow h(t) + b(t)$ 8: 9: else if  $h(t) + b(t) \le T_{\min}$  then 10: Taskset is schedulable 11: Break 12:else 13:Taskset is not schedulable 14: Break 15:end if 16: end while

 $\triangleright$  Calculate h(t) for the given t

<sup>302</sup> based EDF timing analysis technique [28] and adapt it to our DNN-based workload. We <sup>303</sup> choose QPA-based analysis instead of others [29] because (a) it provides us a modular <sup>304</sup> model that can be extended to more general tasksets (arbitrary deadline systems) and (b)<sup>305</sup> computational complexity of QPA is an offline (design-time) analysis which will not affect <sup>306</sup> runtime performance of DeepTrust<sup>RT</sup>.

Recall that the execution within the enclave is non-preemptive. Such behavior is modeled by incorporating a "blocking" delay in schedulability analysis. In EDF scheduling with blocking, a set of tasks is schedulable if  $\forall t > 0$ ,  $h(t) + b(t) \leq t$ , where h(t) is the processor *demand function* and b(t) is the blocking delay [30, 31]. The function h(t) calculates the maximum execution time required by the system for all tasks with both their arrival times and their deadlines in a contiguous interval of length t. The demand function h(t) is given by:  $h(t) = \sum_{i=1}^{i=n} \left| \frac{t}{T_i} \right| C_i$ . In our context, the blocking delay is  $b(t) = \max\{C_j^{cs} | D_j > t\}$ .

For DeepTrust<sup>RT</sup>-LW, the computing time is given by  $C_i = C_i^a + n_i^{cs} \times C_i^{cs}$ , where  $n_i^{cs}$  is the total SMC context switches. Hence, we can rewrite h(t) as follows:  $h(t) = \sum_{i=1}^{i=n} \left\lfloor \frac{t}{T_i} \right\rfloor (C_i^a + n_i^{cs} \times C_i^{cs})$ , see Lemma 1 in Section 4.3 for a formal derivation. Note that, in DeepTrust<sup>RT</sup>-LW,  $n_i^{cs} = L_i$ . The upper limit of t that needs to be checked is defined by  $S = \max\{T_1, T_2, \cdots, T_n\}$ . The taskset is schedulable if U < 1 and  $h(t) + b(t) \leq T_{min}$ , where  $T_{min} = \min\{T_1, T_2, \cdots, T_n\}$ .

### <sup>320</sup> 4.3 Workflow and Analysis of DeepTrust<sup>RT</sup>-LW

As mentioned before, in DeepTrust<sup>RT</sup>-LW, layers are sequentially executed inside the enclave, 321 and the tasks are scheduled using the EDF policy. Algorithm 3 presents steps for the 322 schedulability checks. We start by finding the maximum task period in the taskset (Line 3). 323  $T_{min}$  stores the minimum value of the task period in the taskset (Line 4). The processor 324 demand function h(t) calculates the maximum execution time required by the system for 325 given t (Line 6). If  $h(t) + b(t) > T_{min}$  and h(t) + b(t) < t, we tighten the bound on processor 326 demand to check if we can execute all ready tasks. This is done by changing the value of t327 to h(t) (Line 8). If  $h(t) + b(t) \leq T_{min}$  at any time t, we can conclude that our system can 328 execute all ready tasks without missing any deadlines. Therefore, the task set is schedulable 329 (Line 9). If it finds h(t) + b(t) > t at any time t, then we report that the taskset is not 330 schedulable (Line 13). 331

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API	Function	Overhead $(\mu s)$
TEEC_InitializeContext()	Initialize connection	240
TEEC_OpenSession()	Open a new TEE session	18000
TEEC_InvokeCommand()	Invokes a Command	280
TEEC_CloseSession()	Close the session	1180
TEEC_FinalizeContext()	Close connection	110

**Table 1** APIs Required for Invoking a TEE Call. The Overheads are Measured on Raspberry Pi.

<sup>332</sup> Determining the Processor Demand Function. The following lemma shows the <sup>333</sup> expression for h(t).

▶ Lemma 1. The maximum execution time required by the system contiguous interval of length t, is given by:  $h(t) = \sum_{i=1}^{i=n} \left| \frac{t}{T_i} \right| C_i$ .

Proof. From traditional EDF timing analysis [28],  $h(t) = \sum_{i=1}^{i=n} \max\{0, 1 + \lfloor \frac{t-D_i}{T_i} \rfloor\} \times C_i$ . Replacing  $D_i = T_i$  in the above equation (since we have an implicit deadline system) and after simplification, h(t) can be rewritten as:  $h(t) = \sum_{i=1}^{i=n} \max\{0, \lfloor \frac{t}{T_i} \rfloor\} \times C_i$ . Note that,  $\frac{t}{T_i}$  is a non-negative value. Hence, reduced form of h(t) is  $h(t) = \sum_{i=1}^{i=n} \lfloor \frac{t}{T_i} \rfloor C_i$ . Replacing  $C_i = C_i^a + n_i^{cs} \times C_i^{cs}$ , h(t) can be rewritten as:  $h(t) = \sum_{i=1}^{i=n} \lfloor \frac{t}{T_i} \rfloor \times (C_i^a + n_i^{cs} \times C_i^{cs})$ .

Overhead Analysis. For a given task  $\tau_i$ , the worst-case execution time of the model inside TEE is  $C_i^a$ , where  $C_i^a = \sum_{j=1}^{j=L_i} C_{ij}^a$  and  $C_{ij}^a$  is the computation time for layer j. In DeepTrust<sup>RT</sup>-LW, if a task  $\tau_i$  has  $L_i$  number of layers, we need  $L_i$  number of context switches. The total execution time of task  $\tau_i$  required in the layer-wise approach is  $C_i = C_i^a + L_i \times C_i^{cs}$ . We now explain the overhead of DeepTrust<sup>RT</sup>-LW using a simple example.

**Example 1.** Let us assume we have three tasks  $\tau_1, \tau_2, \tau_3$  each having 5 layers (i.e.,  $L_i = 5$ ) and  $\delta = 7$ . The size of  $\tau_1$  and  $\tau_2$  is 10, and the size of  $\tau_3$  is 5 units. We cannot execute all the layers of  $\tau_1$  inside the enclave as the size of  $\tau_1 > \delta$ . DeepTrust<sup>RT</sup>-LW requires five SMC switches from the normal to secure world for five layers for each task  $\tau_1, \tau_2$ , and  $\tau_3$ . Hence, we need  $3 \times 5 = 15$  SMC switches to execute these three tasks inside TEE.

### **351** 4.4 The Need for an Efficient Scheduler

Despite DeepTrust<sup>RT</sup>-LW ensures real-time guarantees (for schedulable tasksets), as we shall 352 see below (and also from our evaluation in Section 6), it results in poorer schedulability. This 353 is because each switching results in extra SMC invocation, which increases task response 354 times. For example, OP-TEE (an open-source TrustZone port for Linux) [10] performs five 355 API calls to initiate and teardown a TEE session. Each of these API calls takes a considerable 356 amount of time. We carried out experiments to time each call on a Raspberry Pi platform. 357 As the Table shows, initiating a TEE session, transferring data to/from the enclave, and 358 cleanup steps take approximately 20 ms. In our context, each of the layer execution sessions 359 will add up those TEE API overheads, thus potentially slowing down the inference task and 360 may result in missed deadlines. We further illustrate this issue using a simple example. 361

**Example 2.** Let us consider the taskset listed in Table 2.

#### **Table 2** Example Taskset 1.

Т	ask	L	$C^{cs}/{ m layer}$	$C^a_i$	C	T
	$ au_1$	8	20	290	450	700
,	$ au_2$	6	20	270	390	1500
,	$ au_3$	8	20	290	450	3000

We now show how layer-wise execution in DeepTrust<sup>RT</sup>-LW adds context switch overheads 363 that can increase the overall execution time. There are three tasks  $\tau_1, \tau_2, \tau_3$ , where  $C_1^a, C_2^a, C_3^a$ 364 are 450, 390, and 450 units respectively. The maximum blocking delay for task  $\tau_2$  is 450 365 time units. The periods  $T_1, T_2, T_3$  are 700, 1500, and 3000 time units, respectively. In this 366 taskset,  $\sum C_i^a/T_i = 0.69 < 1$ . Let us assume the context switch overhead is 20 units per 367 layer. Adding this context swich overhead leads execution times,  $C_1, C_2, C_3$  to 450, 390, and 368 450 units, respectively. As a result, the utilization is  $\sum C_i/T_i = 1.05 > 1$ . The taskset is 369 not schedulable under DeepTrust<sup>RT</sup>-LW since the system utilization is over 100%. In this 370 example, we can see the summation of the actual execution time,  $\sum C_i^a = 850$ , and the 371 summation of total execution time  $\sum C = 1290$ . This indicates an additional 34% context 372 switching overhead in executing the taskset. 373



**Figure 4** Key intuition of model fusion: when the tasks are executed layer-wise (DeepTrust<sup>RT</sup>-LW, top schedule), Task 2 misses deadline due to multiple context switch overheads. However, in a multi-layer execution approach (DeepTrust<sup>RT</sup>-FUSION, bottom schedule), multiple layers are fused together, which reduces context switch overheads and all the tasks meet their deadlines.

To address this problem, we develop a simple yet compelling idea: instead of sending each layer sequentially, we propose to group (fuse) multiple layers from multiple tasks (as long as they fit in the enclave) and send them together. We refer to this technique DeepTrust<sup>RT</sup>-FUSION. Figure 4 illustrates a high-level schematic for two tasks. In this case, DeepTrust<sup>RT</sup>-LW misses deadlines for Task 2 due to multiple context switch overheads. However, when we fuse the layers in DeepTrust<sup>RT</sup>-FUSION, we save context switch costs, thus allowing both tasks to meet deadlines.

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We note that task fusion has been used in literature for TEE-enabled conventional 381 (i.e., non-learning enabled) fixed-priority real-time systems to reduce TEE context switch 382 overheads [32]. We borrow a similar concept to group multiple layers of tasks and fit 383 them within the enclave. For each decision instance, we group the tasks with shorter 384 deadlines so that (a) enclave utilization (capacity usage) is maximized, i.e., fit as many 385 layers as possible, and (b) tasks do not miss deadlines. Our selection process, as described in 386 Section 5, is inspired by the bin-packing heuristics (such as best-fit) [33] used in partitioned 387 multiprocessor scheduling. We now discuss DeepTrust<sup>RT</sup>-FUSION scheduler in detail and 388 derive schedulability conditions. 389

## <sup>390</sup> **5** DeepTrust<sup>RT</sup>-FUSION: Multi-layer Task Fusion

Fusing multiple layers from multiple tasks can significantly save context switch overheads 301 compared to DeepTrust<sup>RT</sup>-LW approach. For example, AlexNet-squeezed [34] has 16 layers. 392 If the system follows DeepTrust<sup>RT</sup>-LW, it needs 16 context switches (one for each layer). 393 In contrast, assuming each layer is 1 MB in size and the enclave has 8 MB of memory, if 394 we fuse layers using DeepTrust<sup>RT</sup>-FUSION, we can finish the execution with two context 395 switches. We now illustrate how DeepTrust<sup>RT</sup>-FUSION improves schedulability. Fusion 396 works independent of task period types (i.e., harmonic/non-harmonic), as we illustrate with 397 an example. 398

**Example 3.** Let us consider the following taskset parameters (Table 3 and Table 4).

Task	$\boldsymbol{L}$	Size of layers (MB)	Total Size (MB)
$\tau_1$	8	$\{0.046, 0.186, 0.48, 0.39, 0.27, 5.84, 2.69, 1.50\}$	11.40
$ au_2$	6	$\{0.186, 0.48, 0.39, 5.84, 2.69, 1.50\}$	11.08
$ au_3$	8	$\{0.046, 0.186, 0.48, 0.39, 0.27, 5.84, 2.69, 1.50\}$	11.40

**Table 3** Example Taskset and Layer Size.

**Table 4** Example Taskset with Fusion Parameters.

Task	L	$C^{cs}/ ext{layer}$	CS (fusion)	$C^a_i$		T (non-harmonic)	T (harmonic)
$ au_1$	8	20	2	290	330	700	700
$ au_2$	8	20	2	270	310	1500	1400
$ au_3$	8	20	2	290	330	3000	2800

We show for both harmonic and non-harmonic cases. Let us first consider the nonharmonic periods. In this case,  $\sum C_i/T_i = 0.78 < 1$ . We can calculate the schedulability conditions of as follows: (a) t = 3000, h(t) = 2270; (b) t = 2270, h(t) = 1300; and (c) t = 1300, h(t) = 330. We can see  $h(t) < T_{min}$ . Hence, the taskset is schedulable (recall: the same taskset is not schedulable using DeepTrust<sup>RT</sup>-LW). Let us now consider the harmonic case. For harmonic periods, (a) t = 2800, h(t) = 2270; (b) t = 2270, h(t) = 1300; and (c) t = 1390, h(t) = 330. We can see  $h(t) < T_{min}$ . Hence, the taskset is schedulable.

### 407 5.1 Workflow of DeepTrust<sup>RT</sup>-FUSION

DeepTrust<sup>RT</sup>-FUSION aims to maximize the usage of TEE capacity. Hence, we send the maximum number of layers that TEE can support to reduce the SMC context switching **Algorithm 4** DeepTrust<sup>RT</sup>-FUSION: Task Fusion and Scheduling

```
1: Input: Real-time taskset (\Gamma), TEE-capacity \delta
 2: Output: Taskset schedulability decision
 3: Compress the Model
                                                                                                               ▷ See Algorithm 1
                                                                                                               \triangleright See Algorithm 2
 4: Resize Layers
 5: \Omega(t) = \{ \mathcal{W}'_1, \mathcal{W}'_2, \cdots \mathcal{W}'_i \}
                                                       \triangleright Obtain the set of the weight of each task available at time t
 6: T_{hyp} = \text{LCM of } \{T_1, T_2, \cdots, T_n\}
                                                                                  \triangleright T is the set of period of all DNN tasks
 7: BĚGIN
                                                                                                \triangleright Find layers to send to TEE
 8: while TRUE do
         S = FIND\_LAYERS\_TO\_SEND{\Omega(t)}
                                                                                                   ▷ See Line 23 for definition
 9:
10:
          Send S to TEE
11: end while
12: END
13: function FIND_TRANSITION_OF_LAYERS(\Omega(t))
         i \leftarrow \text{index of first task in } \Omega(t)
14:
         while i \leq no of task available at time t do
if \sum_{\substack{j=p\\i=i}}^{j=k} w_{ij} = \delta_1 < \delta and \sum_{\substack{j=p\\j=p}}^{j=k+1} w_{ij} > \delta then
15:
16:
17:
                  Remove w_{im}, \cdots, w_{ik} from \Omega(t)
18:
19:
              end if
20:
          end while
21:
         return w_{ip}, \cdots, w_{ik}, w_{(i+1)p'}, \cdots
22: end function
23: function FIND_LAYERS_TO_SEND(\Omega(t))
24:
          while \Omega(t) \neq NULL do
              S = \text{FIND}_\text{TRANSITION}_\text{OF}_\text{LAYERS}(\Omega(t))
25:
                                                                                                  \triangleright See Line 13 for definition
26:
              Check schedulability using Lemma 3
27:
              if Schedulable then
28:
                  Continue
29:
              else
30:
                                                                                                   \triangleright Taskset is not schedulable
                  break
31:
              end if
32:
              if t \geq T_{hyp} then
                                                                                               \triangleright T_{hyp} is the hyperperiod of T
33:
                  break
34:
              end if
35:
          end while
36:
          return S
37: end function
```

overhead. For a given DNN task  $\tau_i$ , the worst-case execution time of the model inside TEE is  $C_i^a$ , where  $C_i^a = \sum_{j=1}^{j=L} C_{ij}^a$  and  $L_i$  is the number of layers. If there is  $L_i$  layers in task  $\tau_i$ , then the size of each layer will be  $w_{i1}, w_{i2}, ..., w_{iL_i}$ , where  $\sum_{j=1}^{j=L_i} w_{ij} = W_i$ . We first check if the following condition holds:  $(w_{i1} + w_{i2}) < \delta$ . We find the maximum value of k where  $\sum_{j=1}^{j=k} w_{ij} = \hat{\delta} < \delta$ ,  $\sum_{j=1}^{j=k+1} w_{ij} > \delta$ . If some extra capacity is left (i.e.,  $\delta - \hat{\delta}$ ), we check the subsequent task to fit within this extra space. We find the maximum value of k for the next task where  $\sum_{j=1}^{j=k} w_{(i+1)j} < (\delta - \hat{\delta})$ ,  $\sum_{j=1}^{j=k+1} w_{(i+1)j} > (\delta - \hat{\delta})$ . We check all available candidate tasks at a given time t to check whether layers can fit inside the enclave. Once we obtain the schedule profile, we repeat the same steps for all subsequent task arrivals.

Algorithm 4 formally presents DeepTrust<sup>RT</sup>-FUSION approach. The fusion decision will 419 be made when a task (a) arrives, (b) completes, or (c) returns from the enclave. Since the 420 scheduler keeps track of the ready-queue and SMC returns (for example, OP-TEE tear-down 421 APIs TEEC\_CloseSession() and TEEC\_FinalizeContext()), we know when to perform 422 fusion decisions. For each scheduling decision event, DeepTrust<sup>RT</sup>-FUSION scheduler picks 423 the fuse candidates (for instance, the loops in Line 8-Line 11, Algorithm 4). Let  $\Omega(t)$  be the 424 set of all tasks scheduled by using the vanilla EDF (i.e., without any TEEs) algorithm at 425 any given time t. We first calculate the hyperperiod of the taskset (Line 6). From  $\Omega(t)$ , we 426

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find the set of layers S to send to TEE (Line 9). We find the transition point k for each task 427 and remove layers p to k from  $\Omega(t)$ , where p is an integer initialized to 0 (Line 18). Then, 428 we calculate the corresponding candidate by following the condition (Line 16). We repeat 429 this for all subsequent tasks available at that time using the while loop (Line 15-20). We 430 return all the layers  $w_{ip}, \dots, w_{ik}, w_{(i+1)p'}, \dots$  (Line 21) to S that is finding the set of layers 431 to send to TEE (Line 9). We then check the schedulability condition (see Lemma 3 for a 432 formal derivation). If the task is schedulable, we continue to find the next candidate to send 433 to TEE and repeat this process till hyperperiod. In the following example, we demonstrate 434 our proposed idea. 435

**Example 4.** Let us assume we have three tasks  $\tau_1, \tau_2, \tau_3$  each having 5 layers and  $\delta = 7$ . 436 The size of  $\tau_1$  and  $\tau_2$  is 10, and the size of  $\tau_3$  is 5 units. We consider the size of each layer to 437 be the same for simplicity. We cannot execute all the layers of  $\tau_1$  inside the enclave as the 438 size of  $\tau_1 > \delta$ . If we execute layer-by-layer, we need five SMC switching from the normal 439 world for five layers for each task  $\tau_1, \tau_2$ , and  $\tau_3$ . If we send multiple layers of  $\tau_1$  that can 440 be supported by TEE, it still requires two SMC switching i.e.,  $\{w_{11}, w_{12}, w_{13}\}, \{w_{14}, w_{15}\}$ . 441 For task  $\tau_2$ , we also need two SMC switching  $\{w_{21}, w_{22}, w_{23}\}, \{w_{24}, w_{25}\}$ . For task  $\tau_3$ , we 442 need one SMC context switching  $\{w_{31}, w_{32}, w_{33}, w_{34}, w_{35}\}$ . Hence, we need fifteen SMC 443 switches for layer-by-layer operations to execute these three tasks. In contrast, it is possible 444 to perform the same objective using only five SMC switches if we can send it by multiple 445 layers. If we send multiple layers of  $\tau_1$ , we still have some extra capacity left  $(\delta - \delta_1 = 1)$ . 446 In this case, we check whether if it is feasible to use that space capacity. In this example, 447  $w_{11} + w_{12} + w_{13} + w_{31} = 7 \leq \delta$ . Hence, we can fuse the first three layers from  $\tau_1$  and the first 448 layer from  $\tau_3$ , and then send them together to the enclave. If we repeat the same operations for 449 the rest of the layers we get the following pattern:  $\{w_{11}, w_{12}, w_{13}, w_{31}\}, \{w_{14}, w_{15}, w_{21}, w_{32}\}, \{w_{14}, w_{15}, w_{21}, w_{32}, w_{32}\}, \{w_{14}, w_{15}, w_{15}$ 450  $\{w_{22}, w_{23}, w_{24}, w_{33}\}, \{w_{25}, w_{34}, w_{35}\}$  i.e., we only need four SMC switches. 451

### 452 5.2 Schedulabilty Conditions and Overhead Analysis

Recall that, a taskset is schedulable if  $\forall t, U(t) < 1$  and  $h(t) \leq t$ . We now derive the expressions for U(t) and h(t) for DeepTrust<sup>RT</sup>-FUSION.

▶ Lemma 2. Let  $n_i^s(t)$  is the number of context switches by applying fusion for a window of duration t. System utilization U(t) for a given taskset at any given time t is given by

$$U(t) = \sum_{i=1}^{i=n} \left( \frac{\lfloor \frac{t}{T_i} \rfloor \times C_i}{t} - \frac{n_i^s(t) \times C_i^{cs}}{t} \right).$$
(2)

**Proof.** To determine the system utilization for a given taskset, we assume that each task arrives at time t = 0. We then calculate the number of occurrences of each task within time t using the expression  $\lfloor \frac{t}{T_i} \rfloor$ , where  $T_i$  represents the period of task  $\tau_i$ . The overhead of each task is then given by  $\lfloor \frac{t}{T_i} \rfloor \times C_i$ , where  $C_i$  represents the computation time required for task  $\tau_i$ . At any given time t, system utilization is  $\sum_{i=1}^{i=n} \frac{\lfloor \frac{t}{T_i} \rfloor \times C_i}{t}$ . However, by applying layer fusion, we can reduce context switching overhead as  $\frac{n_i^s(t) \times C_i^{cs}}{t}$ , where  $n_i^s(t)$  is the number of context switches by applying fusion for a window of duration t. Hence, we can calculate the system utilization at any given time t as follows:  $U(t) = \sum_{i=1}^{i=n} \left( \frac{\lfloor \frac{t}{T_i} \rfloor \times C_i}{t} - \frac{n_i^s(t) \times C_i^{cs}}{t} \right)$ 

In the following, we derive the processor demand function h(t) for DeepTrust<sup>RT</sup>-FUSION.

Lemma 3. The task set Γ is schedulable under DeepTrust<sup>RT</sup>-FUSION if  $\forall t > 0, t < T_{max}$ ; h(t) + b(t) ≤ t, U(t) < 1, where

469 
$$h(t) = \sum_{i=1}^{i=n} \left( \lfloor \frac{t}{T_i} \rfloor C_i - \frac{n_i^s(t) \times C_i^{cs}}{t} \right).$$
(3)

**Proof.** The demand function h(t) calculates the maximum execution time required by all tasks that have both their arrival times and their deadlines in a contiguous interval of length  $t_{71}$  tasks that have both their arrival times and their deadlines in a contiguous interval of length  $t_{72}$  t. Recall that, h(t) is given by  $h(t) = \sum_{i=1}^{i=n} \lfloor \frac{t}{T_i} \rfloor C_i$ . DeepTrust<sup>RT</sup>-FUSION can reduce up to  $n_i^s(t)$  context switches for each task  $\tau_i$  for a window of size t. Considering this reduction, we now rewrite h(t) as:  $h(t) = \sum_{i=1}^{i=n} (\lfloor \frac{t}{T_i} \rfloor C_i - \frac{n_i^s(t) \times C_i^{cs}}{t})$ .

We now calculate the performance benefits of DeepTrust<sup>RT</sup>-FUSION, i.e., the reduction in SMC context switch counts when we use layer fusion.

<sup>477</sup> ► Lemma 4. If we have z fused tasks in Γ, then the total context switch reduction within the hyperperiod is  $\sum_{j=1}^{j=z} (k_j - 1)C_j^{cs}$ , where  $k_j$  is the number of fused layers in j<sup>th</sup> fused task, <sup>479</sup>  $C_j^{cs}$  is the context switch overhead.

**Proof.** If we can fuse k layers from different tasks that are available at time t, then  $j^{th}$  fused task  $\tau_j^{fused}$  is defined as  $(C_j^{fused}, n_j^{fused})$ , where  $C_j^{fused}$  is the execution time of fused task and  $n_j^{fused}$  is the SMC context switching reduction due to  $j^{th}$  fused task. If we can fuse  $k_j$ layers, then  $C_j^{fused}$  can be measured using the following equation:  $C_j^{fused} = C_j^{cs} + \sum_{i=1}^{i=k_j} C_{ji}^a$ , where  $C_{ji}^a$  is the computation time at  $i^{\text{th}}$  layer. If we can fuse k layers in  $j^{\text{th}}$  fused task, we can reduce  $n_j^{fused} = (k_j - 1) \times C_j^{cs}$  context switches. If we have z number of fused tasks within the hyperperiod, we can define the total context switching overhead reduction as:

487 
$$n^{s} = \sum_{j=1}^{j=z} n_{j}^{s} = \sum_{j=1}^{j=z} (k_{j} - 1)C_{j}^{cs}.$$
 (4)

488

489

#### 6 Evaluation

We evaluate our techniques on two fronts: (a) design-space exploration with various DNN workloads (Section 6.1) and (b) case study with a UAV autopilot system (Section 6.2). Our implementation is available on GitHub: https://github.com/CPS2RL/DeepTrust-RT.

### **6.1** Design-Space Exploration with Deep Learning Workloads

#### 494 6.1.1 Simulation Setup

We evaluate the performance of our scheme using synthetically generated workloads, with 495 parameters similar to that used in prior work [35]. We vary the system utilization from 0% to 496 100%. For each system utilization u in the range  $[0, 10, \dots, 100]$ %, we generate 200 tasksets, 497 each taskset containing 5 to 15 tasks. Task periods are randomly selected from 50 to 100 ms. 498 For deep learning workload, we used three popular DNN architectures: AlexNet-squeezed [18], 499 YOLOv3-tiny [19], and Tiny Darknet [18]. We also tested with a "random workload" where 500 we randomly generated the number of layers, task period, size of layers, and computation 501 time. We tested with two enclave capacities ( $\delta$ ): 8 MB for AlexNet-squeezed and Tiny 502 Darknet and 16 MB for YOLOv3-tiny. We note that similar sizes of enclaves are used by 503

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**Table 5** Systems & Workloads.

Parameters	Description
Hardware	4x ARM Cortex A53, 1 GB RAM (Raspberry Pi 3 B)
Rich OS	Linux 6.2.0
Trusted OS	OP-TEE 3.19.0
Workloads	<ul> <li>AlexNet-squeezed (Image Processing)</li> <li>Tiny Darknet and YOLOv3-tiny (Object Detection)</li> <li>Random: weights and run times are generated randomly</li> </ul>

#### **Table 6** Simulation Parameters.

Parameters	Value
Enclave capacity, $\delta$	8 MB
Utilization, U	0%-100%
Period T	[50, 100]  ms
Number of layers, $L$	[5, 24]
Weight, $W$	[0.01, 7]
Execution time inside TEE per layer, $c_{ij}^a$	[0.1, 8]  ms
SMC overhead, $c_s^{st} + c_s^d$	20  ms
Number of tasks, $n$	[5, 25]
Number of taskset for each utilization, $N_u$	200

<sup>504</sup> OP-TEE. Unless otherwise specified, we consider SMC context switch overhead  $(c_s^{st} + c_s^d)$ <sup>505</sup> to be 20 ms. Table 5 summarizes platform and workload, and Table 6 lists key simulation <sup>506</sup> parameters.

### 507 6.1.2 Schemes and Metrics

We compare DeepTrust<sup>RT</sup>-FUSION with layer-wise execution technique (DeepTrust<sup>RT</sup>-LW). For completeness, we also study a "non-secure" variant that does not consider any enclave. The schemes used in our evaluation are listed below.

- **DeepTrust<sup>RT</sup>-LW**: Sends the layers *sequentially* (layer-wise) to the enclave (Section 4.2).
- **DeepTrust<sup>RT</sup>-FUSION**: Our proposed scheme that *fuses* multiple layers from multiple tasks (Section 5).
- **No-TEE**: DNN task execution *without* any enclave. The tasks follow EDF scheduling policy. In this case, model confidentiality is not enforced.
- <sup>516</sup> We tested the above schemes with the following two metrics.
- Sparsity: Our newly introduced metric that shows the "spread" of the task (viz., the ratio between response time and period). Higher sparsity means tasks are completed late and that may result in poorer QoS in terms of the DNN inference process. A Sparsity value > 1 implies the task misses the deadline.
- **Acceptance Ratio**: A commonly used metric by the real-time community that represents the fraction of tasks that meet deadlines over the total generated ones.



**Figure 5** Sparsity and Acceptance Ratio with varying system utilization for {5, 10, 15} tasks using AlexNet-squeezed [18] architecture. The red shaded regions show cases where DeepTrust<sup>RT</sup>-LW cannot find schedulable tasksets while other schemes can. DeepTrust<sup>RT</sup>-FUSION result in better schedulability compared to DeepTrust<sup>RT</sup>-LW as the utilization increases with performance penalty (i.e., both Sparsity and Acceptance Ratio are close to the No-TEE case.



**Figure 6** Sparsity and Acceptance Ratio using Tiny Darknet [18] architecture using a setup identical to that of Fig. 5. The findings are similar.

#### 523 6.1.3 Results

We first show the Sparsity and Acceptance Ratio for varying numbers of tasks (n = 5, n = 10, n = 10)524 and n = 15) for the DNN workloads listed on Table 5. The x-axis of Fig. 5 shows the 525 various taskset utilization for randomly generated taskset. The y-axis of Fig. 5a and Fig. 5d 526 shows Sparsity and Acceptance Ratio, respectively. We show the Sparsity and Acceptance 527 Ratio for DeepTrust<sup>RT</sup>-FUSION (Green), DeepTrust<sup>RT</sup>-LW (Black), and No-TEE (Black) 528 schemes. The red shaded regions in the figure represent the cases where DeepTrust<sup>RT</sup>-LW is 529 unable to find any schedulable candidate while DeepTrust<sup>RT</sup>-FUSION finds some. For lower 530 utilization, all schemes show similar behavior. However, DeepTrust<sup>RT</sup>-FUSION outperforms 531 DeepTrust<sup>RT</sup>-LW up to 3x as the utilization increases (i.e., DeepTrust<sup>RT</sup>-LW is unable to 532 find schedulable tasksets as the utilization reaches 60%). This is expected because layer-wise 533

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**Figure 7** Sparsity and Acceptance Ratio using YOLOv3-tiny [19] architecture using a setup identical to that of Fig. 5. Our findings are similar to Fig. 5 and Fig. 6.



**Figure 8** Sparsity and Acceptance Ratio for a randomly generated workload with two different context switching overheads: (a) 10% of max(WCET) values (solid lines) and (b) 30% of max(WCET) values (dotted lines). Larger context switch delays result in higher Sparsity for DeepTrust<sup>RT</sup>-LW when compared to DeepTrust<sup>RT</sup>-FUSION, which in turn, reduces the percentage of schedulable tasksets. DeepTrust<sup>RT</sup>-FUSION performs identically to No-TEE for lower context switch delays.

execution in DeepTrust<sup>RT</sup>-LW increases delay due to additional context switches. At higher 534 utilization, that causes more tasks to miss deadlines and results in lower acceptance. We also 535 note that the performance of our scheme (both in terms of Sparsity and Acceptance Ratio) 536 is close to No-TEE case (recall: No-TEE does not provide model confidentiality). Hence, 537 DeepTrust<sup>RT</sup>-FUSION can improve the security posture of the DNN tasks without significant 538 overhead (close to the vanilla execution that does not have TEE support). In Figs. 6-7, we 539 repeat the experiments with ImageNet1k datasets and obtain similar results. As the number 540 of tasks increases (i.e., n = 15), the impact of context switching becomes more apparent, 541 and hence, Acceptance Ratio in No-TEE case significantly outperforms DeepTrust<sup>RT</sup>-LW 542 and DeepTrust<sup>RT</sup>-FUSION in highly utilized systems. 543

To further analyze the effect of context switches on Sparsity and Acceptance Ratio, we vary the SMC overheads as a percentage of WCET. Let  $\max(WCET)$  denote the maximum WCET value observed in our experiments. The solid lines in Fig. 8 show the context switch cost as 10% of  $\max(WCET)$  values of all tasks while dotted lines are generated with SMC overheads with 30% of  $\max(WCET)$ . As the figures show, the effect of larger context switch



**Figure 9** Context switches overhead comparison for three architectures (e.g., Tiny Darknet, AlexNet-squeezed, YOLOv3-tiny) and one for a random taskset. DeepTrust<sup>RT</sup>-FUSION reduces context switch overhead 1.96x-11.12x compared to DeepTrust<sup>RT</sup>-LW.



**Figure 10** DeepTrust<sup>RT</sup> data copy overheads for various DNN workloads. Inference confidentiality increases response times due to additional data transfers and SMC calls. However, this overhead remains constant with the increasing number of tasks. The overheads of DeepTrust<sup>RT</sup>-FUSION are less compared to DeepTrust<sup>RT</sup>-LW due to fewer number of context switches.

costs causes DeepTrust<sup>RT</sup>-LW to perform poorly as delays accumulating by higher context
switch duration lead to longer response times (higher Sparsity values), that in turn, cause
more tasks to miss their deadlines (result in lower Acceptance Ratio).

DeepTrust<sup>RT</sup>-FUSION outperforms  $DeepTrust^{RT}$ -LW, especially for high utilization scenarios. Further, the overhead of  $DeepTrust^{RT}$ -FUSION is negligible as its performance is close to the No-TEE case. Systems with longer TEE context switch delay can be significantly benefited by layer fusion compared to layer-wise partitioning.

In the next set of experiments (Fig. 9), we measure the number of SMC context switches for DeepTrust<sup>RT</sup>-FUSION and DeepTrust<sup>RT</sup>-LW. For this experiment, we set the system utilization 50%. Note that, as No-TEE does not have any enclave, there are no SMC calls (context switches). Hence, our plots exclude No-TEE in this case. As the figures show, DeepTrust<sup>RT</sup>-FUSION enables us to achieve a significant reduction in context switch counts compared to DeepTrust<sup>RT</sup>-LW (5.45x-11.1x) for all three architectures. This is because DeepTrust<sup>RT</sup>-FUSION groups multiple layers, hence reducing overall SMC calls.

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Deep  $Trust^{RT}$ -FUSION can significantly reduce the number context switches (1.9x-11.1x) (see Fig. 9). This reduction of context switches also contribute to higher acceptance rate (see Figs. 5-7).

Recall from Section 3.1 that each time a context switch is performed, normal world (encrypted) data needs to be transferred to the secure world. We now analyze this data copy overhead. The experiments in Fig. 10 show the overheads for the various DNN workloads

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**Figure 11** Sparsity for ArduPlilot controller tasks. Bold tasks are DNN inference workload. The red horizontal line denotes the deadline. The increasing number of context switches in DeepTrust<sup>RT</sup>-LW caused a larger spread of tasks (higher Sparsity), and as a result, two tasks missed deadlines. Under DeepTrust<sup>RT</sup>-FUSION, all tasks were able to meet deadlines.

(AlexNet-squeezed, Tiny Darknet, and YOLOv3-tiny) and a varying number of tasks (n = 5, 564 n = 10, and n = 15) running on Raspberry Pi and OP-TEE. To calculate the end-to-end data 565 copy overheads, we first measured the response times for No-TEE case and then subtracted 566 these values from the response times of each of the DeepTrust<sup>RT</sup> schemes. Finally, we 567 normalized them with the task periods (i.e., calculated Sparsity) and obtained the overhead 568 percentage. We only considered schedulable tasksets. For each data point, we generated 100 569 samples and took the 90<sup>th</sup> percentile value. As the figure shows, enabling confidential inference 570 comes with a cost, i.e., increase in response times. This data copy overhead is system (i.e., 571 underlying SMC implementations) and workload (i.e., DNN layers/architecture) dependent. 572 For instance, we find that the additional delay in response times due to transferring context 573 for DeepTrust<sup>RT</sup>-LW and DeepTrust<sup>RT</sup>-FUSION are (a) 2.39 s and 1.34 s (AlexNet-squeezed), 574 (b) 1.54 s and 2.95 s (Tiny Darknet), and (c) 5.62 s and 6.96 s (YOLOv3-tiny), respectively 575 on Raspberry Pi+OP-TEE setup (recall: each SMC overheads could be as high as 20 ms, see 576 Table 1). As the figure shows, the data copy overhead scales well with the increasing number 577 of tasks (remains constant). Further, DeepTrust<sup>RT</sup>-FUSION incurs lower overheads due to a 578 reduced number of context switches, as we also observed in prior experiments (Fig. 9). 579

Confidential deep inference comes with a cost: it increases response times due to additional data transfer between normal and secure worlds. However, this data transfer overhead does not increase significantly with the increasing number of tasks.

### **6.2** Case Study with a UAV Controller System

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In the final set of experiments (Fig. 11), we evaluate DeepTrust<sup>RT</sup>-FUSION, DeepTrust<sup>RT</sup>-LW, and No-TEE with a UAV autopilot system (ArduPlilot [20]) running on Raspberry Pi 3 [36]. The ArduPlilot controller has 18 real-time tasks (defined in /ArduCopter/Copter.cpp). Since the vanilla controller does not have any DNN workload, we included two additional inference tasks (i.e., check\_visual\_target() and object\_detection()) that use Tiny Darknet and YOLOv3-tiny models, respectively to perform object detection. The periods of

our DNN tasks were 5. The total system utilization (including two of the included DNN tasks)
 was 0.75. Each of the bars in Fig. 11 shows the various tasks and their Sparsity for each of the
 three schemes. The figure shows that due to high context switches, DeepTrust<sup>RT</sup>-LW misses
 deadlines for two real-time tasks (i.e., Sparsity > 1). In contrast, both DeepTrust<sup>RT</sup>-FUSION
 and No-TEE were able to meet all deadlines.

High SMC context switch overheads cause  $DeepTrust^{RT}$ -LW to miss deadlines for two real-time tasks. DeepTrust^{RT}-FUSION, in contrast, was able to meet all deadlines.

### <sup>594</sup> **7** Discussion

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In this work, we assume all layers execute inside TEEs. There exist use cases where not all 595 layers have confidentiality requirements. For example, in image/voice recognition applications 596 where the user may not want to reveal input and processed data, running initial input layers 597 and final output layers inside TEE should be sufficient. Our future work will explore the 598 variable number of TEE executions and analyze the performance trade-offs in a real-time 599 context. Our research focuses on scheduling within a single enclave as existing TrustZone 600 implementations support a single enclave. We will further investigate the feasibility and 601 performance benefits of DeepTrust<sup>RT</sup> running on multiple enclaves. 602

DeepTrust<sup>RT</sup>-FUSION currently selects a whole slice of a layer and fuses it with another 603 task. For example, consider  $\tau_i$  has four layers  $\{l_i^{11}, \cdots, l_i^{14}\}$  and  $\tau_j$  has three layers 604  $\{l_i^{11}, \cdots, l_i^{13}\}$ . If feasible (i.e., enclave has capacity), DeepTrust<sup>RT</sup>-FUSION fuses all seven 605 layers. It could also be possible to obtain a "partial" slice of a layer in case a complete slice 606 is not fit in the enclave (or the enclave has a little extra capacity). For instance, in the 607 example above,  $\{l_i^{11}, l_i^{12}, l_j^{12}, l_j^{13}\}$  could form a fusion group in case all seven layers do not fit 608 to further improve schedulability. Sub-layer-based partitioning ideas will be explored in our 609 future work. 610

We assume only inference tasks use TEEs. In practice, other (non-DNN) tasks could 611 also use TEEs, thus potentially limiting enclave availability. DeepTrust<sup>RT</sup>-FUSION can be 612 extended for such scenarios considering extra *slack* reclaimed from other non-inference tasks. 613 The overall security of DeepTrust<sup>RT</sup> relies on the underlying TEE architecture. However, 614 TEEs could also be vulnerable, especially exposed to schedule-based attacks [37] for real-time 615 context. One approach to limit such observability is to introduce "noise" in the scheduler [38]. 616 For instance, instead of fusing the same set of tasks, DeepTrust<sup>RT</sup>-FUSION can be extended 617 to select fusion candidates from different groups, thus limiting the predictability and hence 618 reducing the chances of information leakage. 619

### 620 8 Related work

Research in confidential deep inference for real-time context is still in the early stages. In our preliminary (workshop) paper [39], we propose a layer-grouping idea for fixed priority systems. Unlike DeepTrust<sup>RT</sup>, our prior work does not provide formal timing guarantees. AegisDNN [23] proposes to execute only a few layers that will be executed inside SGX-based TEEs. However, AegisDNN [23] is primarily designed for soft real-time systems and allows deadline misses. In contrast, DeepTrust<sup>RT</sup> is designed for hard real-time systems.

There exists other work for general-purpose systems. DarkneTZ [21] proposes to execute only a few layers that will be executed inside TEE, which is not suitable for applications that require executing all layers within TEE. Layers that execute outside of the secure

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world expose information to the untrusted normal world, raising data privacy concerns. A
similar line of work exists (e.g., HybridTEE [40], Confidential DL [13], Occlumency [41]),
for executing machine learning workloads inside TEEs. However, none of them consider
real-time constraints.

<sup>634</sup> SuperTEE [32] aims to reduce TEE task switching overhead. However, SuperTEE [32] <sup>635</sup> is not designed for learning-enabled real-time systems and can not be directly adapted for <sup>636</sup> DNN workloads. Researchers also propose techniques (e.g., Subflow [35], AppNet [42]) to <sup>637</sup> make deep learning "time-aware," but they do not consider trusted execution aspects. The <sup>638</sup> proposed research is one of the fundamental works that investigates time-aware confidential <sup>639</sup> deep learning techniques for hard autonomous systems.

### 640 9 Conclusion

This research introduces techniques to enable real-time guarantees for confidential deep learning using trusted enclaves. We show how to slice a partition in a large deep-learning model to schedule using real-time schedulers such as EDF. We further propose an optimization using a novel idea of "layer fusion" that selectively groups multiple layers from various tasks to minimize TEE context switch overheads. By using the approach presented in this work, engineers of future autonomous systems will be able to design/schedule systems efficiently and measure overheads of deep neural inference workloads in a "quantifiable" way.

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